

# CERTIFICATION OF BENEFICIAL OWNER(S)

Account Number: \_\_\_\_\_

**Persons opening an account on behalf of a legal entity must provide the following information:**

- a. Name of Natural Person Opening Account: \_\_\_\_\_ Title: \_\_\_\_\_
- b. Name of Legal Entity for Which the Account is Being Opened: \_\_\_\_\_  
 Entity Type: \_\_\_\_\_ Address: \_\_\_\_\_
- c. The following information for each individual or entity, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above:

Name	Date of birth, if applicable	Physical Address (Residential or Business)	Phone Number	For U.S. Persons or Entities: SSN or TIN	For Foreign Persons: Passport Number & Country*	% Owner
						%
						%
						%
						%

(If no individual or entity meets this definition, please write "Not Applicable.")

- d. The following information for one individual with significant responsibility for managing the legal entity listed above, such as:

An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or

Any other individual who regularly performs similar functions.

(If appropriate, an individual listed under section (c) above may also be listed in this section (d)).

Name/Title	Date of Birth	Address (Residential or Business Address)	Phone Number	For U.S. Persons: Social Security Number	For Foreign Persons: Passport Number & Country*

I, \_\_\_\_\_ (name of natural person opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct, and on behalf of \_\_\_\_\_, I agree to notify the financial institution of any change in such information.

By: \_\_\_\_\_

Date

\*In lieu of a passport number, foreign persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.